

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

10/06/2003 SDIRETA1 00000008 10605251

01 FC:2001	375.00	DP
02 FC:2202	9.00	DP
03 FC:2201	42.00	DP

Adjustment date: 10/06/2003 SDIRETA1  
07/18/2003 EFSPROD 00000013 10605251  
01 FC:1001 -750.00 DP  
02 FC:1202 -18.00 DP  
03 FC:1201 -84.00 DP

07/18/2003 EFSPROD 00000013 10605251

01 FC:1001	750.00	DP
02 FC:1202	18.00	DP
03 FC:1201	84.00	DP

Refund Ref:  
10/06/2003 0030013167

Credit Card Refund Total: \$426.00

Master C: XXXXXXXXXXXXX0427

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Geifman et al.  
SERIAL NO.: 10/605251 GROUP NO.:  
FILING DATE: September 18, 2003 EXAMINER:  
TITLE: Ferroelectric Single Crystal Resonator And Methods For Preparation  
And Use Thereof.

Office of Finance,  
Financial Accounting Division,  
Refund Section.  
Facsimile number 703-308-6778


**REQUEST FOR REFUND OF EXCESS AMOUNT OF FILING FEES**

1. Attached is a copy of the statement regarding small entity status for the above-identified application.
2. A refund pursuant to CFR 37 1.26, based on establishment of small entity status, of a portion of fees timely paid in full for the above-identified application prior to establishing status as a small entity is respectfully requested.

\$ 426.00

Respectfully submitted,

Date: September 25, 2003  
Reg. No. 53,115

  
Leonid Khodor  
Agent for Applicants

Tel. No.: (440) 248-6024  
Fax No.: (440) 248-6011

4920 Brainard Rd.,  
Orange, Ohio 44022

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Geifman et al  
SERIAL NO.: 10/605251  
FILING DATE: September 18, 2003  
TITLE: Ferroelectric Single Crystal Resonator And Methods For  
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**CERTIFICATE OF TRANSMISSION/ MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day of September 25, 2003.

\_\_\_\_\_  
Leonid Khodor

Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

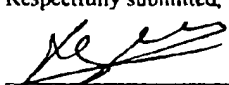
1. Transmittal Form;
2. Statement Regarding Small Entity Status;
3. Request For Refund Of Excess Amount Of Filing Fees.

<b>TRANSMITTAL FORM</b>	Application Serial Number	10/605251
	Filing Date	September 18, 2003
	First Named Inventor	Ilia Geifman
	Group Art Unit	
	Examiner Name	
	Attorney Docket No.	
	Patent No.	Not applicable
	Issue Date	Not applicable

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input type="checkbox"/> Petition for Extension of Time  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Copy of Notice of Draftsperson's Patent Drawing Review  <input type="checkbox"/> Formal Drawings  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input type="checkbox"/> Return Receipt Postcard  <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  <input checked="" type="checkbox"/> Statement Regarding Small Entity Status <input checked="" type="checkbox"/> Request For Refund Of Excess Amount Of Filing Fees.

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Leonid Khodor 4920 Brainard Rd., Orange, OH 44022 Tel. No.: (440) 248-6024 Fax No.: (440) 248-6011	Respectfully submitted,  Leonid Khodor Agent for Applicants 4920 Brainard Rd., Orange, OH 44022

## STATEMENT REGARDING SMALL ENTITY STATUS

Attorney Docket No. XXX

Applicant, Patentee, or Identifier: **Lia Geifman**Application or Patent No.: **10/605251**Date Filed or Issued: **09.18.2003**Title: **FERROELECTRIC SINGLE CRYSTAL RESONATOR AND METHODS FOR PREPARATION AND USE THEREOF**NAME OF SMALL BUSINESS OR NONPROFIT ORGANIZATION: **Electroplated Metal Solution, Inc.**ADDRESS OF SMALL BUSINESS OR NONPROFIT ORGANIZATION: **165 King St., Elk Grove Village, IL 60007**

I hereby state that the above identified small business concern or nonprofit organization qualifies as a small business concern as defined in 37 CFR 1.27 for purposes of paying reduced fees to the United States Patent and Trademark Office. For a small business concern, the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern or nonprofit organization identified above with regard to the invention described in:

- ☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern or nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must execute separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

- ☒ No such person, concern, or organization having any rights in the invention exists.  
☐ Each such person, concern, or organization having any rights in the invention is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

NAME OF PERSON SIGNING **Arkady Furman**TITLE OF PERSON SIGNING **President**ADDRESS OF PERSON SIGNING **4700 W. Old Orchard Rd., Suite 406, Skokie, IL 60076**

SIGNATURE



DATE

**09/25/03**